

FACTS

WHAT DOES CENTER PEAK FINANCIAL SERVICES DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
-------------	--

What?	<p>The types of personal information we collect, and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> Social Security Number and income; Assets and transaction history; and Investment experience and risk tolerance. <p>When you are <i>no longer</i> a client, we continue to share your information as described in this notice.</p>
--------------	--

How?	All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Center Peak Financial Services choose to share; and whether you can limit this sharing.
-------------	--

Reasons we can share your personal information	Does CPFS share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.	YES	NO
For our marketing purposes – To offer our products and services to you.	YES	YES
For joint marketing with other financial companies	NO	We do not share
For text messaging purposes – To schedule meetings or share general information	YES	YES
For our affiliates' everyday business purposes – Information about your transactions and experiences.	NO	We do not share
For our affiliates to market to you	NO	We do not share
For non-affiliates to market to you	NO	We do not share

To limit our sharing	<p>Please note: If you are a new Client, we can begin sharing your information upon execution of an agreement with CPFS. When you are no longer our client, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing. By executing an Investment Advisory Agreement with CPFS, the Client agrees to "opt-in" to this privacy policy.</p>
-----------------------------	--

Questions?	Call (919) 267-3567, email nick@centerpeakfs.com , or go to www.centerpeakfs.com .
-------------------	---

Who we are	
Who is providing this notice?	Center Peak Financial Services (“CPFS”)

What we do	
How does CPFS protect my personal information?	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.</p> <p>We take reasonable steps to ensure the confidentiality of client information shared via text messages. However, clients are reminded to avoid including sensitive personal or financial information, such as account numbers or Social Security numbers, in text messages.</p>
How does CPFS collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> open an account or give us contact information; enter an investment adviser contract or give us your income information; tell us about your investment or retirement portfolio. <p>We also collect your personal information from other companies.</p> <p>To ensure compliance and protect client information, all text message communications are conducted using firm-approved, secure communication platforms that are monitored and archived in accordance with regulatory requirements.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> Sharing for affiliates' everyday business purposes – information about your creditworthiness; Affiliates from using your information to market to you; and Sharing for nonaffiliates to market to you. <p>State laws and individual companies may give you additional rights to limit sharing.</p>
What happens when I limit sharing for an account that I hold jointly with someone else?	Your choices will apply to everyone on your account – unless you tell us otherwise.

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies.
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.
Joint Marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you.

Other important information
<p>By signing and executing Center Peak Financial Services' Agreement, I acknowledge that I have fully read and understand this Privacy Policy and opt-in as outlined above. I understand that if I have any questions or concerns about this policy, it is my responsibility to discuss this with my financial professional.</p> <p>Mobile Opt-In, SMS consent and phone numbers collected for SMS communication purposes will not be shared with third parties and affiliates for marketing purposes.</p>

SMS Terms & Conditions

1- SMS Consent Communication:

The information Phone Numbers obtained as part of the SMS consent process will not be shared with third parties for marketing purposes.

2- Types of SMS Communications:

If you have consented to receive text messages from Center Peak Financial Services, you may receive messages related to the following:

- Appointment reminders
- Follow-up messages
- Scheduling
- General Updates/Announcements

3- Message Frequency:

Message frequency may vary depending on the type of communication. For example, you may receive up to 5 SMS messages per week related to the reasons above.

4- Potential Fees for SMS Messaging:

Please note that standard message and data rates may apply, depending on your carrier's pricing plan. These fees may vary if the message is sent domestically or internationally.

5- Opt-In Method:

You may opt-in to receive SMS messages from Center Peak Financial Services in the following way:

- Verbally, during a conversation or by opting in to electronic consent on the Agreement that is signed with Center Peak.

6- Opt-Out Method:

You can opt out of receiving SMS messages at any time. To do so, simply reply "STOP" to any SMS message you receive. Alternatively, you can contact us directly to request removal from our messaging list.

7- Help:

If you are experiencing any issues, you can reply with the keyword HELP. Or to get help, you can find our contact information on the contact us page directly from our website at centerpeakfs.com

8- Standard Messaging Disclosures:

- Message and data rates may apply.
- You can opt out at any time by texting "STOP."
- For assistance, text "HELP" or visit our [Privacy Policy] and [Terms and Conditions] pages.
- Message frequency may vary.